

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chen, et al.

Serial No: 10/072,830

Filed: February 8, 2002

For: *Methods and Compositions for  
Stimulating Axon Regeneration and  
Preventing Neuronal Cell Degeneration*

Examiner: To be assigned

Art Unit: 1633

Attorney Docket No. ERM-105.01

## Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date set forth below.

November 12, 2002

Date of Signature and Mail Deposit

By: 

Terrill Williams

Commissioner for Patents  
Washington, D.C. 20231**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(b)**

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. §§ 1.56 and 1.97(b)(3).

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each of the listed documents is material or constitutes "prior art." If the Examiner applies any of the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

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Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more documents be applied against the claims of the present application.

If an Office Action on the merits is mailed out prior to reception of this IDS, the Commissioner is hereby allowed to charge the amount of \$180.00 to our Deposit Account No. 06-1448, to cover the fee required by 37 C.F.R. § 1.97 (c). The Commissioner is also authorized to charge any fee deficiencies and credit any overpayment to our Deposit Account No. 06-1448.

Respectfully Submitted,

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Date: November 12, 2002

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